



A Fundraiser for Robin Hood  
(and Your Favorite Charity!)

## OFFICIAL RULES

**COMPETITION DESCRIPTION:** Robin Hood Pick-A-Ticker: A Fundraiser for Robin Hood (and Your Favorite Charity!) (the “Competition”) is a charitable fundraiser for the Robin Hood Foundation (“Robin Hood” or “Sponsor”). The Competition is a stock picking competition. Every year, investors pitch “best ideas” from the stage at Robin Hood’s annual Investors Conference. This Competition invites the Investors Conference (“Conference”) audience and other participants to join the fun and submit their own best stock picking ideas for a good cause. Robin Hood will track how the stocks the participants pick perform over a period of time. The participant whose portfolio has the highest total return will win the Competition. **This Competition is not sponsored by Bloomberg Finance, L.P. (“Bloomberg”).** Bloomberg is not responsible for the collection, submission or processing of entries, the administration of the Competition or the selection of winners.

**ELIGIBILITY:** Participants must be 18 years of age or older or the age of majority wherever they reside, whichever is older, at time of entry. Residents of North Dakota are not eligible. If a participant is not a U.S. resident, the participant must be located in the United States at the time of entry. You do not need to attend the Conference in order to be eligible, and you do not need to own the stock you identify in your Long Pick or Short Pick (both defined below) in order to be eligible. You must, however, make a Donation (defined below) to be eligible, as more fully described herein. This Competition is void where prohibited and in North Dakota. Employees of Robin Hood, employees, officers, and directors of Bloomberg, and members of such individuals’ immediate families (spouse and parent, children and siblings, and their respective spouses and children, regardless of where they reside), and persons living in the same household (whether or not related) of such individuals are ineligible to enter or win. Sponsor will determine in its sole discretion whether a potential participant meets the eligibility requirements. By participating, participant agrees to these Official Rules, and the decisions of the Sponsor and/or its independent judges, which are final and binding in all respects.

**HOW TO ENTER:** Competition registration and entry runs from October 18, 2024 at 11 AM ET to 4 PM ET on October 28, 2024 (“Entry Period”). During the Entry Period, go to <https://www.robinhood.org> to access the Competition registration and entry form. On the form, you will be asked to submit your name, certain contact information, the name of your best stock pick to go long on during the Measurement Period (defined below) (“Long Pick”), the name of your best stock pick to go short on during the Measurement Period (“Short Pick”), and the recommended recipient for the charitable donation if you win (“Charity”). The completed and submitted form will be deemed an “Entry.” Each Entry must comply with the Competition Entry Requirements (defined below) and these Official Rules. You will be entitled to submit one Entry for each \$10,000 donation you make to Sponsor (“Donation”). If, for example, a participant donates \$50,000, that participant may submit up to five Entries. If a participant donates \$15,000, that participant may submit only one Entry. The entry form includes instructions on how to make a Donation. The participant must submit the Donation during the Entry Period. Multiple participants cannot use a Donation as a basis for entry. Entries received from any participant in excess of the limitation will be void if discovered by Sponsor. If there is any question regarding the identity of the Long Pick or the Short Pick, Sponsor may determine the identity of the stock picked in its sole discretion. Entries without corresponding Donations will be deemed ineligible.

Participants will have the option to not have their Short Pick disclosed on the leaderboard, but if a participant chooses not to have their Short Pick disclosed, their listing on the leaderboard will display a sector for the Short Pick. The sector for a Short Pick will be determined by Sponsor in its sole discretion. To see a list of sectors, go to [www.bloomberg.com/markets/sectors](http://www.bloomberg.com/markets/sectors). All participants must submit accurate contact information for themselves. If there is a dispute as to the identity of an individual participant, the authorized account holder of the email account associated with the Entry will be deemed the participant. "Authorized account holder" of an email account is defined as the person who designated as the account holder by the email service provider. Entries and Donations must be received by 4 PM ET on October 28, 2024, to be considered. No forms of entry other than as stated in these Official Rules will be accepted.

**ELIGIBLE CHARITIES:** A participant can choose among the following three options in indicating a Charity to receive a donation:

1. Participant may indicate that the donation be retained by Robin Hood in the form of an unrestricted gift to Robin Hood;
2. Participant may indicate that the donation be retained by Robin Hood in the form of a restricted gift to Robin Hood that will be applied to one of Robin Hood's life stage program areas (early childhood, school-age children, young adults, or adults & household supports); or
3. Participant may indicate that the donation be allocated to one of Robin Hood's community partners (Sponsor will provide a menu of potential recipients). Sponsor may override the designation and offer an alternative if the community partner is no longer in good standing at the conclusion of the Competition.

**ENTRY REQUIREMENTS:** In addition to the provisions set forth herein, each Entry must comply with the following guidelines or will be subject to disqualification at the discretion of Sponsor:

- 1) The Long Pick and Short Pick must both be securities listed on the NYSE or Nasdaq. Unlisted securities and securities listed on other exchanges are not valid for Long Picks or Short Picks.
- 2) The securities selected must have a 30-day trailing average daily trading volume of more than \$10 million and a market cap of at least \$2 billion.
- 3) For ADRs, the reference security must meet the daily liquidity requirements.
- 4) When submitting the Long Pick and Short Pick, participant should identify only the ticker symbol of the stock the participant is choosing. Participant must not include commentary about why the participant is making a particular Long Pick or Short Pick.
- 5) A participant may not choose a security issued by the participant's employer.

Participant represents and warrants that the Entry is in compliance with the submission requirements set forth above, that participant's participation in the Competition does not violate any of participant's employer's rules or policies or breach participant's contract with participant's employer, that participation in the Competition is in compliance with the laws of the participant's jurisdiction of residence, and, if the participant is not a U.S. resident, that the participant was located in the United States at the time of entry. All Entries will undergo screening for compliance with the guidelines and requirements set forth in these Official Rules. By submitting an Entry, participant agrees that the Entry conforms to these Official Rules and that Sponsor, in its sole discretion, may disqualify the Entry for any reason, including if Sponsor determines, in its sole discretion, that the Entry fails to conform to these Official Rules in any way or otherwise contains unacceptable content as determined by the Sponsor, in its sole discretion. BY SUBMITTING AN ENTRY, PARTICIPANT ACKNOWLEDGES THAT INFORMATION FROM THE ENTRY MAY BE POSTED ON THE BLOOMBERG TERMINAL AND WEBSITE, AND SPONSOR'S WEBSITE, FACEBOOK PAGE, AND OTHER SOCIAL PAGES, IN SPONSOR'S DISCRETION, and participant, on behalf of participant grants Sponsor, Bloomberg Finance L.P., and their agents an unlimited, worldwide, perpetual, license and right to publish and use the Entry in any way, in all media, without limitation, and without consideration to the participant. Once an Entry has been submitted, participant will not be permitted to change the Entry. The Entry information provided is subject to Sponsor's Privacy Policy. To read that Policy, access [www.robinhood.org](http://www.robinhood.org) and then click the "Privacy Policy" link.

**WINNER DETERMINATION:** Together, a participant's Long Pick and Short Pick will be referred to as the participant's "Portfolio." Each Portfolio's performance will be analyzed based on the Long Pick and Short Pick's total return as measured over the period October 28, 2024 through close of day on April 30, 2025 (the "Measurement Period"). The participant who submitted the Portfolio that performed the best during the Measurement Period will be deemed the winner. The best performing Long Pick will be determined as follows: by evaluating the change in price from October 28, 2024 at 4 PM ET to April 30, 2025 at 4 PM ET. The best performing Short Pick will be determined as follows: by evaluating the change in price from October 28, 2024 at 4 PM ET to April 30, 2025 at 4 PM ET, adjusted for hypothetical borrowing costs. Robin Hood will work with a third party to set the borrowing cost for each security based on current market rates. The annualized borrowing rate and calculated hypothetical daily borrowing cost will be static for the entirety of the competition. Together, Long Pick and Short Pick total return will be combined to determine the Portfolio total return. If there is a tie for first, the donation to the Charity will be divided among the participants who tied for first. The winner will be announced on or around May 12, 2025.

**LEADERBOARD:** A leaderboard for the Competition will be displayed on the Bloomberg terminal and on the Bloomberg website. The leaderboard is for entertainment purposes only and should not be viewed as a definitive indication of a participant's rank in the Competition. In addition, because participants are allowed to keep Short Picks confidential, not every Short Pick will be disclosed, but the total performance of the Portfolio will be shown. Participants' rankings will be determined solely by Sponsor based on information in Sponsor's possession, and all rankings are subject to eligibility verification. If a participant is disqualified, that participant's Donation will remain in the pool of total Donations. If a participant has chosen not to disclose participant's Short Pick, that Short Pick will not be displayed on the leaderboard but the sector of the Short Pick will be displayed.

**WINNER NOTIFICATION AND VERIFICATION:** Sponsor will attempt to notify the potential winners by email or telephone. The potential winner must complete, sign and return, within seven days of the date of notification, an Affidavit of Eligibility/Release of Liability Form, Publicity Release (where lawful), as well as any other documents required by Sponsor. If the releases or any required documentation are not returned within seven days, if any winner notification is returned as undeliverable, if a potential winner does not respond within 72 hours of initial notification attempt, or if a potential winner is found to be ineligible, declines to be deemed the winner, or otherwise is not in compliance with these Official Rules, the winner designation may be forfeited, and the participant with the next best result may be declared the potential winner, or the Sponsor may elect not to designate a winner.

**WINNER DONATION:** The winner will not receive a prize. Instead, Robin Hood will apply one third of the total Donations to the winner's recommended Charity. Robin Hood will retain the remainder of the Donations to use in furtherance of Robin Hood's charitable purpose. If the winner has indicated that a Robin Hood community partner should be the recipient of one third of the total Donations, the community partner will be given notice that the winner has so designated the community partner, but the donation will not be made in the winner's name or on behalf of the winner.

If an inadequate number of qualified Entries are received to determine a winner, Robin Hood reserves the right to retain the full amount of the Donations for use by Robin Hood in furtherance of its charitable purpose. No substitution, assignment, redemption for cash, or transfer of the right to indicate the donation to the Charity is permitted.

**GENERAL:** By participating in the Competition, participants agree to release and to indemnify and hold harmless Sponsor, Bloomberg, and each of their respective parent companies, affiliates, subsidiaries, retailers, franchisees, advertising and promotion agencies, judging organization, and any other individual or company involved in the development, promotion, or execution of the Competition, and all of their respective officers, employees, directors, representatives and agents (individually and collectively "Releasees") from all liability, losses, claims, action, or proceedings, losses, all injuries and damages of any kind to person(s), including death or property resulting, in whole or in part, directly or indirectly, from participant's participation in the Competition or any Competition-related activi

ties, or violation of intellectual property or personal rights (such as but not limited to, copyright, patent, trade name/trademark, and/or rights of privacy or publicity or portrayal in a false light) due in whole or in part to participation in this Competition, participation in any Competition-related activity, or from Sponsor's use of the Entry as provided for herein. By participating in the Competition, in addition to any other grants that may be granted in any other agreement entered into between Sponsor and any participant in and/or winner of the Competition, each participant irrevocably grants to the Releasees and their respective successors, assigns and licensees, the right in perpetuity to use such participant's name, trademark (for entities), photograph, social media tag, likeness, statements, biographical information, and any other personal characteristics, in all media for any purpose, including without limitation, to advertise, market, and promote the Competition, Sponsor, or Bloomberg, or to display the leaderboard and without notice, review or approval, and each participant and/or winner hereby releases the Releasees from any liability with respect thereto. Participant agrees that submissions are gratuitous, unsolicited and without restriction, and will not place Sponsor or Bloomberg under any obligation, and that Sponsor, Bloomberg, and their employees, officers, and directors are free to disclose the ideas contained therein on a non-confidential basis to anyone or otherwise use the ideas without restriction and without any additional compensation to participant. Participant acknowledges that, by acceptance of an Entry, Sponsor and Bloomberg do not waive any rights to use similar or related ideas from any source. Any waiver of any obligation hereunder by Sponsor does not constitute a general waiver of any obligation to participant. By entering, participant acknowledges that Sponsor and Bloomberg have no obligation to use or post any Entry submitted. If a dispute arises regarding compliance with these Official Rules, Sponsor may consider, in its sole discretion, data reasonably available to Sponsor through information technology systems in Sponsor's control, but Sponsor will not be obligated to consider any data or other information collected from any other source. Any failure by Sponsor to enforce any of these Official Rules will not constitute a waiver of such Official Rules. If there is a conflict between any term of these Official Rules and any marketing or entry materials used in connection with the Competition, the terms of these Official Rules will govern.

**LIMITATIONS OF LIABILITY:** Releasees are not responsible for lost, late, incomplete, damaged, inaccurate, stolen, illegible, delayed, misdirected, separated, or non-delivered Entries; or for lost, interrupted or unavailable satellite, unavailable network, server, Internet Service Provider, website or other connections availability, accessibility or traffic congestion, or miscommunications, or failed computer, network, telephone, satellite, or cable hardware, software or lines, or technical failure, or jumbled, scrambled, delayed, or misdirected transmissions, or computer hardware or software malfunctions, failures or difficulties, or other errors of any kind whether human, mechanical, electronic or network, including typographical, printing, or the incorrect or inaccurate capture of entry or other information or the failure to capture, or loss of, any such information with the administration of the Competition, the processing or judging of Entries, the announcement of the winner, or in any Competition-related materials. Releasees are not responsible for any incorrect or inaccurate information, whether caused by website users, or by any equipment or programming associated with or used in this Competition, and assume no responsibility for any error, omission, interruption, deletion, defect or delay in operation or transmission, communications line failure, theft or destruction or unauthorized access to, or tampering with or hacking of the entry process. Sponsor reserves the right, at its sole discretion, to disqualify any individual it finds to be tampering with the entry process or the operation of the Competition; or if such participant shows a disregard for, or attempts to circumvent, these Official Rules; or if such participant threatens the integrity of the Competition by posting or otherwise disseminating material on Instagram, Facebook, or any other social network that is offensive, vulgar, obscene, or defames anyone or any entity; or if such participant acts: (i) in a manner the Sponsor determines to be not fair or equitable; (ii) in an annoying, threatening, or harassing manner with regard to any other participant or Sponsor; or (iii) in any other disruptive manner. Releasees are not responsible for injury or damage to participant's or any other person's computer related to or resulting from participating in this Competition or downloading, printing, or copying materials from or use of social media. If, for any reason, the Competition is not capable of running as planned by reason of infection by computer virus, worms, bugs, tampering, hacking, unauthorized intervention, fraud, technical failures, or any other causes that, in the sole opinion of the Sponsor, corrupt or affect the administration, security, fairness, integrity or proper conduct of this Competition, Sponsor

reserves the right, at its sole discretion, to cancel, terminate, modify, or suspend entry in the Competition. If Sponsor does so, Sponsor will determine the winner from eligible, non-suspect Entries received prior to action taken by applying the judging criteria set forth above, or as otherwise deemed fair and equitable by Sponsor, provided Sponsor is able to do so. If Sponsor terminates, modifies, or suspends the Competition, Sponsor will notify the participants. Under no circumstances will Sponsor return the Donations.

The Competition does not constitute investment advice, recommendations, or solicitations to purchase or sell any securities mentioned therein. Robin Hood is not, and does not provide services as, an investment advisor, investment analyst, broker, broker-dealer, market-maker, investment banker or underwriter. Robin Hood receives no compensation for, and does not engage in, providing advice, making recommendations, issuing reports, or furnishing analyses on any of the securities or other information presented in the Competition.

**DISPUTES:** By participating in this Competition, each entrant agrees: (i) that all disputes the entrant may have with, or claims entrant may have against, the Releasees relating to, arising out of or connected in any way with (a) the Competition, (b) the designation of the winner, and/or (c) the determination of the scope or applicability of this agreement to arbitrate, will be resolved exclusively by final and binding arbitration administered by JAMS and conducted before a sole arbitrator in accordance with the rules of JAMS; (ii) this arbitration agreement is made pursuant to a transaction involving interstate commerce, and will be governed by the Federal Arbitration Act (“FAA”), 9 U.S.C. §§ 1-16; (iii) the arbitration will be held in New York City, New York; (iv) the arbitrator’s decision will be controlled by the terms and conditions of these Official Rules and any of the other agreements referenced herein that the applicable participant may have entered into in connection with the Competition; (v) the arbitrator shall apply New York law consistent with the FAA and applicable statutes of limitations, and shall honor claims of privilege recognized at law; (vi) there will be no authority for any claims to be arbitrated on a class or representative basis, arbitration can decide only participant’s and/or Sponsor’s individual claims; the arbitrator may not consolidate or join the claims of other persons or parties who may be similarly situated; (vii) the arbitrator will not have the power to award punitive damages against the participant or Sponsor; (viii) if the participant is able to demonstrate that the costs of arbitration will be prohibitive as compared to the costs of litigation, Sponsor will pay as much of participant’s filing and hearing fees in connection with the arbitration as the arbitrator deems necessary to prevent the arbitration from being cost-prohibitive; and (ix) with the exception of subpart (vi) above, if any part of this arbitration provision is deemed to be invalid, unenforceable or illegal, or otherwise conflicts with the rules of [www.jamsadr.com](http://www.jamsadr.com), the remainder of this arbitration agreement will nonetheless be enforced.

**JURISDICTION/CHOICE OF LAW:** By entering, participants agree that this Competition will be governed by the laws of the State of New York and agree to venue and jurisdiction solely in New York County, New York for the resolution of all disputes.

**SPONSOR:** Robin Hood Foundation, 826 Broadway, 9th Floor, New York, NY 10003.

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